

To: Standard Chartered Bank (Hong Kong) Limited (the "Bank")

渣打銀行(香港)有限公司(「銀行」)  
P.O. Box 68383, Kowloon East Post Office  
東九龍郵政信箱68383號



Please "✓" in the appropriate boxes. 注意：請於適合方格填上 "✓"。

Date 日期

Customer Information 客戶資料 (Please write in BLOCK letters 請用正楷填寫)	
Name of Account Holder 戶口持有人姓名	Identity Document Type 客戶身份證明文件種類
Primary Account Holder's Name (English) 戶口持有人姓名 (英文)	<input type="checkbox"/> HKID 香港身份證 <input type="checkbox"/> Passport 護照 <input type="checkbox"/> Others 其他 _____ Document Number 證件號碼 _____
Joint Account Holder's Name (English) (if applicable) 聯合戶口持有人姓名 (英文) (如適用)	<input type="checkbox"/> HKID 香港身份證 <input type="checkbox"/> Passport 護照 <input type="checkbox"/> Others 其他 _____ Document Number 證件號碼 _____
Choice of Service(s) / Account number 服務選擇/賬戶號碼	
<input type="checkbox"/> Structured Investment Series - Rate Linked 結構性投資系列 - 利率掛鉤 ( <input type="checkbox"/> Single Name 個人 <input type="checkbox"/> Joint Name 聯名) <input type="checkbox"/> Structured Investment Series - Currency Linked 結構性投資系列 - 貨幣掛鉤 ( <input type="checkbox"/> Single Name 個人 <input type="checkbox"/> Joint Name 聯名) <input type="checkbox"/> Investment Fund A/C No. 基金投資戶口號碼 _____ - _____ - _____ <input type="checkbox"/> Debt Securities A/C No. 債券戶口號碼 _____ - _____ - _____ - _____ - BND <input type="checkbox"/> Equity Linked Investment A/C No. 股票掛鉤投資戶口號碼 _____ - _____ - _____ - _____ - ELI	
Information Change(s) 更改之戶口資料	
I/We would like to 本人/吾等希望 <input type="checkbox"/> <b>Change of Settlement Account</b> (Delete 刪除) Currency 貨幣 _____ - _____ - _____ - _____ 更改以上之交收/結算戶口 (Add 新增) Currency 貨幣 _____ - _____ - _____ - _____ (applicable for Investment Fund Services, Debt Securities Services and Equity Linked Investment Services) (適用於基金投資服務、債券投資服務及股票掛鉤投資服務) <input type="checkbox"/> <b>Close</b> the above specified service(s)/account(s) I/We confirm that there is no outstanding holding for this specified service(s)/in this account(s) 取消上述之服務/戶口 本人/吾等並確認此服務/戶口已沒有持有任何結存 <input type="checkbox"/> Receive paper shareholder notifications regarding my/our investment fund holdings (applicable for Investment Fund Services only) 透過紙張形式收取您所持有基金之相關股東通知書 (只適用於基金投資服務) <input type="checkbox"/> Receive email shareholder notifications regarding my/our investment fund holdings (applicable for Investment Fund Services only) 透過電郵收取您所持有基金之相關股東通知書 (只適用於基金投資服務)	
Client Information for Individual Client Segregated Account# 獨立綜合賬戶客戶資料# (Please write in BLOCK letters 請用正楷填寫)	
<input type="checkbox"/> Setup of Individual Client Segregated Account 開立獨立綜合賬戶 (applicable for Investment Fund Services, Debt Securities Services and Equity Linked Investment Services) (適用於基金投資服務、債券投資服務及股票掛鉤投資服務) <input type="checkbox"/> Close the Individual Client Segregated Account 取消獨立綜合賬戶	
Primary Account Holder's Name (English) 戶口持有人姓名 (英文)	Identity Document Type 客戶身份證明文件種類 <input type="checkbox"/> HKID 香港身份證 <input type="checkbox"/> Passport 護照 <input type="checkbox"/> Certificate of Incorporation 公司註冊證 <input type="checkbox"/> Business Registration Certificate 商業登記證 <input type="checkbox"/> Others 其他 _____ Document Number 證件號碼 _____
Joint Account Holder's Name (English) (if applicable) 聯合戶口持有人姓名 (英文) (如適用)	Identity Document Type 客戶身份證明文件種類 <input type="checkbox"/> HKID 香港身份證 <input type="checkbox"/> Passport 護照 <input type="checkbox"/> Certificate of Incorporation 公司註冊證 <input type="checkbox"/> Business Registration Certificate 商業登記證 <input type="checkbox"/> Others 其他 _____ Document Number 證件號碼 _____
Date of Birth: 出生日期 _____	Country / Place of Birth: 出生國家 / 地點 _____ % of Shares: 股份比例 _____ (individual 個人 = 100%; joint 聯名 = 50%)
Nationality (Country/Region): 國籍 (國家/地區) _____	Settlement Account Number (for relevant fee collection): 賬戶號碼 (作扣除有關費用) _____
Correspondence Address (in English) 通訊地址 (請以英文填寫) (must be same as the address for Consolidated Statement) (必須與綜合月結單之地址相同) _____	
Remark (#): please pass the information to ISOC for ISA setup / closure.	

## Customer Declarations 客戶聲明

I/We understand that the above change will be effective within 10 working days from the date of submission this form to the Bank.

本人/吾等明白以上的更改將於遞交此表格之10個工作天內生效。

I/We have already read and fully understood the terms and conditions detailed overleaf and agree to be bound by them.

本人/吾等已閱讀，並完全明白及同意遵守背頁列明之條款及細則。

For Individuals 個人客戶：

S.V.

S.V.

Primary Account Holder's Signature

戶口持有人簽署

Joint Account Holder's Signature (if applicable)

聯名戶口持有人簽署 (如適用)

## Terms and Conditions 條款及細則

### Settlement Account - 交收/結算戶口

1. For joint investment account, Settlement Account must be in the same joint names as the investment account.  
如聯名投資戶口及交收/結算戶口必須有相同聯名戶口。

### Account Closure - 取消戶口

2. For account closure, I/we authorise the Bank that if there are any cash or unit dividends distributed after closure of my/our account in connection with the fund investments previously standing in such account, the Bank shall:  
如取消戶口，本人/吾等授權銀行，若果本人/吾等在取消上述戶口後，該戶口內曾有的基金投資獲派發任何現金或單位股息，銀行可作以下安排：
- (i) deposit such cash dividends (if any) into any bank account in my/our name(s) with the Bank; and  
把有關之現金股息(如有)存入本人/吾等於銀行開設之任何存款戶口；及
- (ii) redeem such unit dividends (if any) on such terms and at such time as the Bank deems fit and deposit the redemption proceeds into any bank account in my/our name(s) with the Bank.  
按銀行認為適當的條件及時間贖回有關之單位股息(如有)，並將贖回收益存入本人/吾等於銀行開設之任何存款戶口。

I/We agree that if I/we do not maintain any bank account with the Bank at the time of dividend payment, the Bank may give me/us notice of the same in writing sent to my/our last known address on the Bank's record.

倘若本人/吾等在獲派發股息時並未於銀行開立任何存款賬戶，本人/吾等同意銀行按本人/吾等之最後登記的郵遞地址，以書面通知本人/吾等此項股息之派發。

3. (If account closure is related to Investment Fund Account) - I/We agree that all relevant Monthly Investment Plan(s) in such account will be terminated upon account closure.  
(如取消戶口是有關基金投資戶口) - 本人/吾等同意所有於該戶口之月供基金計劃會將於取消戶口時一併終止。
4. Where inconsistency exists between the English and Chinese version in this form, the English version shall prevail.  
如本文件英文與中文版本有歧異，以英版本為準。

### Individual Client Segregated Account - Client Acknowledgment, Confirmation, Representation, Warranty and Undertaking in relation to CSDR

#### 獨立綜合賬戶 - 與中央證券存管條例有關的客戶通知、確認、陳述、保證及承諾

5. I/We acknowledge and agree that, I/we have received, read and understood the Equity Linked Investment Services Terms and Conditions ("ELI T&C"), and/or Debt Securities Services Terms and Conditions ("DSS T&C"), and/or Investment Funds Services Terms and Conditions ("UT T&C"), including the Service Charges Booklet, and the terms and conditions set out in this application form, as well as all other applicable terms and conditions of the Bank, as amended and supplemented by the Bank from time to time, and agree to be bound by them.  
本人/吾等確認並同意收到、閱讀並理解「股票掛鈎投資」之條款及細則，及/或「債券投資服務」之條款及細則，及/或「基金投資服務」之條款及細則，包括服務收費一覽表及本更改通知書內所列明的條款及細則，以及銀行不時修訂及補充的其他有關條款及細則，並同意受其約束。

## For Bank Use Only 銀行專用

Branch code	Sales Code & EASI ID	Signature Verified	Input by	Input Verified by
Signature Verified	Signing No. ( )			