



## Securities Services – Third Party Charges

In addition to the fee and charge information set out in the Service Charges – An easy guide to banking fees, this document sets out the fees charged by third parties.

<b>Trade-related services (for HK Securities)</b>	
SFC Transaction Levy (by The Securities and Futures Commission of Hong Kong)	0.0027% on transaction amount for each transaction
FRC Transaction Levy (by Financial Reporting Council)	0.00015% of the consideration for each transaction
Trading Fee (by The Hong Kong Exchange & Clearing Limited)	0.00565% on transaction amount for each transaction
Stamp Duty (by the Hong Kong Government)	0.1% on transaction amount (Rounded up to the nearest dollar)
Stock Settlement Fee (by the Hong Kong Securities Clearing Company Limited)	0.002% on transaction amount, minimum HK\$2, maximum HK\$100 (applicable to CCASS Stock only)
Foreign Financial Transaction Tax	<ul style="list-style-type: none"> <li>• French: 0.3%</li> <li>• Italian: 0.1%</li> <li>• Spanish: 0.2%</li> </ul>

<b>Trade-related services (for Shanghai – Hong Kong and Shenzhen – Hong Kong Stock Connect Eligible Securities)</b>	
Securities Management Fee (by the China Securities Regulatory Commission)	0.002% of the consideration of a transaction per side (Waived for ETF)
Handling Fee (by the Shanghai Stock Exchange / Shenzhen Stock Exchange)	0.00341% of the consideration of a transaction per side (0.004% for ETF)
Stamp Duty (by State Taxation Administration)	0.05% of the consideration of a transaction on the seller (Waived for ETF)
Transfer Fee (by ChinaClear)	0.001% of the consideration of a transaction per side (Waived for ETF)
Transfer Fee (by Hong Kong Securities Clearing Company Limited)	0.002% of the consideration of a transaction per side
Foreign Financial Transaction Tax	<ul style="list-style-type: none"> <li>• French: 0.3%</li> <li>• Italian: 0.1%</li> <li>• Spanish: 0.2%</li> </ul>

<b>Trade-related services (for US Securities)</b>	
Third-Party Charge on Fee for Trading	SEC Fee: 0% on consideration (applicable to sell orders only, subject to change by SEC) with effect from 14 May 2025
Foreign Financial Transaction Tax	<ul style="list-style-type: none"> <li>• French: 0.3%</li> <li>• Italian: 0.1%</li> <li>• Spanish: 0.2%</li> </ul>

<b>Stock Settlement-related services</b>	
Transfer Deed Stamp Duty (by the Hong Kong Government)	HK\$5 for each transfer deed of new certificate and Duty is payable by the seller only
Stock Transfer-in (scripless) / Physical Share Certificate Deposit Fee	HK\$1 CCASS SI instruction input fee for Stock Transfer-in
Stock Transfer-Out Fee (scripless)	HK\$1 CCASS SI instruction input fee

<b>Nominee services and corporate actions</b>	
Handling Charge for Registration of Covered Warrant (by Registrar)	HK\$200 per transaction
American Depositary Receipt (ADR) Fee*	USD 0.01 to 0.05 per share

\* Clients holding ADRs may be charged ADR Fee regularly by the Depository Receipts Agent (the Agent) through the Bank. The mentioned price range is for reference only and is subject to changes from time to time at the discretion of the Agent without prior notice. The final price range, frequency and timing of charging the fee is subject to final confirmation from the Agent.

Remarks:

1. Clients are required to bear the relevant charges, levies, taxes or interests received and derived by relevant service providers, governments or regulatory agencies on the designated securities or securities trading services provided by the Bank. For details, please contact our staff.
2. Fees and charges determined and collected by third parties are subject to change without prior notice.
3. If there is any inconsistency or conflict between the English and the Chinese versions, the English version shall prevail.

## 股票投資服務 – 第三方收費

除「服務收費-銀行服務收費一覽表」中列出的收費以外，本文件亦列出了第三方所收取的費用。

股票交易服務（適用於香港股票）	
證監會交易徵費（由香港證監會收取）	每宗交易須按成交金額繳付 0.0027%
財匯局交易徵費（由財務匯報局收取）	每宗交易須按成交金額繳付 0.00015%
交易費（由香港交易所收取）	每宗交易須按成交金額繳付 0.00565%
印花稅（由香港政府收取）	每宗交易須按成交金額繳付 0.1%，而不足 1 港元之數亦須當作 1 港元計
結算費（由香港中央結算有限公司收取）	每張中央結算系統股票須按成交金額繳付 0.002% 交收費，最低收費 2 港元，最高收費 100 港元
外國金融交易稅	<ul style="list-style-type: none"><li>● 法國: 0.3%</li><li>● 意大利: 0.1%</li><li>● 西班牙: 0.2%</li></ul>

股票交易服務（適用於合資格「滬股通」及「深股通」股票）	
證管費（由中國證監會收取）	雙邊成交金額 0.002%（對 ETF 豁免）
經手費（由上海交易所/深圳交易所收取）	雙邊成交金額 0.00341%（對 ETF，收費為 0.004%）
交易印花稅（由國家稅務總局收取）	只收賣方成交金額 0.05%（對 ETF 豁免）
過戶費（由中國結算收取）	雙邊成交金額 0.001%（對 ETF 豁免）
過戶費（由香港結算收取）	雙邊成交金額 0.002%
外國金融交易稅	<ul style="list-style-type: none"><li>● 法國: 0.3%</li><li>● 意大利: 0.1%</li><li>● 西班牙: 0.2%</li></ul>

股票交易服務（適用於美國股票）	
第三方費用（交易）	美國證券交易委員會費用：由 2025 年 5 月 14 日起，交易金額之 0%（只適用於賣盤）
外國金融交易稅	<ul style="list-style-type: none"><li>● 法國: 0.3%</li><li>● 意大利: 0.1%</li><li>● 西班牙: 0.2%</li></ul>

股票交收服務	
釐印費（由香港政府收取）	每張新的轉手紙須繳納轉手紙釐印費 5 港元予香港政府，由註冊股份持有人，即賣方繳納
股票存入（非實貨）/股票實貨存入費用	股票存入（非實貨）收取中央結算交收指示輸入收費 1 港元
股票提取費用（非實貨）	另加中央結算交收指示輸入收費 1 港元

代理人服務及企業行動	
備兌認股權證轉名手續費（由過戶處收取）	每宗收費 200 港元
美國預託證券費用*	每股 0.01-0.05 美元

\* 預託證券代理（「代理」）或會經由本行向持有美國預託證券之客戶定期收取預託證券費用。上述收費僅供參考，代理有權不時更改收費而毋須事先通知。最終的收費金額、次數及時間以代理的最終決定為準

註：

1. 客戶須承擔因本行提供指定股票交易服務而產生的由相關服務提供者、政府或監管機構收取的相關費用、徵稅、稅款或利息。詳情請諮詢本行職員。
2. 如由第三方決定和收取的費用有變更，恕不另行通知。
3. 若中英文版本有任何不一致或衝突，以英文版本為準。